

#330456 Approved for use through 10/31/2002. OMB 0851-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| DECLARATION FOR UTILITY OR<br>DESIGN PATENT APPLICATION<br>(37 CFR 1.63)               |  | Attorney Docket Number | 7520-2            |
|--|--|------------------------|-------------------|
| <input checked="" type="checkbox"/> Declaration<br>Submitted<br>With Initial<br>Filing | <input type="checkbox"/> Declaration<br>Submitted after<br>Initial Filing<br>(surcharge 37 CFR<br>1.16 (e) required) | First Named Inventor   | Alan Foster       |
| OR   |  | COMPLETE IF KNOWN      |                   |
|  |  | Application Number     |                   |
|  |  | Filing Date            | February 28, 2005 |
|  |  | Art Unit               |                   |
|  |  | Examiner Name          |                   |

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## IMPROVEMENTS IN OR RELATING TO CASTORS

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 08/26/2003 as United States Application Number or PCT International

Application Number PCT/GB2003/003700 and was amended on (MM/DD/YYYY)  (If applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119 (a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application<br>Number(s) | Country | Foreign Filing Date<br>(MM/DD/YYYY) | Check Only If Priority Not<br>Claimed | Certified Copy Attached? |                                     |
|--|---------|-------------------------------------|---------------------------------------|--------------------------|-------------------------------------|
|  |         |                                     |                                       | YES                      | NO                                  |
| 0219942.0                              | GB      | 08/28/2002                          |                                       | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 0303274.5                              | GB      | 02/12/2003                          |                                       | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
|  |         |                                     |                                       | <input type="checkbox"/> | <input type="checkbox"/>            |
|  |         |                                     |                                       | <input type="checkbox"/> | <input type="checkbox"/>            |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under U35 USC 119(e) of any United States provisional application(s) listed below.

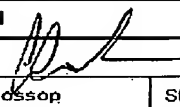
| Application Number(s) | Filing Date (MM/DD/YYYY) | <input type="checkbox"/> Additional provisional application<br>numbers are listed on a<br>supplemental priority data sheet<br>PTO/SB/02B attached hereto. |
|-----------------------|--------------------------|---|
|                       |                          |   |

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I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

|   |         |   |                        |  |             |
|---|---------|---|------------------------|--|-------------|
| U.S. Parent Application or PCT Number   |         | Parent Filing Date (MM/DD/YYYY)   |                        | Parent Patent Number (if applicable)                     |             |
| PCT/GB2003/003700   |         | 08/26/2003  |                        |  |             |
| <input type="checkbox"/> Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.  |         |   |                        |  |             |
| Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number Bar Code Label  |         | 30565   |                        | OR <input type="checkbox"/> Correspondence address below |             |
| Name  |         |   |                        |  |             |
| Address   |         |   |                        |  |             |
| Address   |         |   |                        |  |             |
| City  |         | State   |                        | ZIP  |             |
| Country   |         | Telephone   |                        | Fax  |             |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. |         |   |                        |  |             |
| Name of Sole or First Inventor:   |         | <input type="checkbox"/> A petition has been filed for this unsigned inventor.      |                        |  |             |
| Given Name (first and middle [if any])  |         |   | Family Name or Surname |  |             |
| ALAN  |         |   | FOSTER                 |  |             |
| Inventor's Signature  |         |  |                        | Date   |             |
|   |         |   |                        | 4-5-2005   |             |
| Residence   | City    | Glossop   | State                  | DERBYSHIRE   | Country     |
|   |         |   |                        | GB   | Citizenship |
|   |         |   |                        |  | GB          |
| Post Office Address   |         |   |                        |  |             |
| The Forge, 1 Fauvel Place   |         |   |                        |  |             |
| Post Office Address   |         |   |                        |  |             |
|   |         |   |                        |  |             |
| City  | Glossop | State   | DERBYSHIRE             | ZIP  | SK16 7AR    |
|   |         |   |                        | Country  | GB          |
| <input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.   |         |   |                        |  |             |

#333287 PTO/SB/80 (12/04)  
Approved for use through 11/30/2005, OMB 0651-0035  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
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**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ Practitioners associated with the Customer Number:

30565

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

| Name | Registration Number |
|------|---------------------|
|      |                     |
|      |                     |
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|      |                     |

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

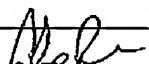
Assignee Name and Address:

**Castor Technology Ltd**  
**Monaghan House**  
**Clarendon Street**  
**Hyde, Cheshire SK14 2EP**  
**United Kingdom**

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

|           |   |           |              |
|-----------|---|-----------|--------------|
| Name      | ANNA CASTOR   | Date      | 4 MAY 2005   |
| Signature |  | Telephone | 01457 967272 |
| Title     | MR  |           |              |

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.24. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

WEMMH PTO/SB/81 (04-05)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|   |                        |  |
|---|------------------------|--|
| <b>POWER OF ATTORNEY<br/>and<br/>CORRESPONDENCE ADDRESS<br/>INDICATION FORM</b> | Application Number     | 10/526,770                               |
|   | Filing Date            | February 28, 2005                        |
|   | First Named Inventor   | Alan FOSTER                              |
|   | Title                  | IMPROVMENTS IN OR RELATING<br>TO CASTORS |
|   | Art Unit               | Unassigned                               |
|   | Examiner Name          | Unassigned                               |
|   | Attorney Docket Number | 7520-2                                   |

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners associated with the Customer Number: 30565

OR

☐ Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
|      |                     |
|      |                     |
|      |                     |
|      |                     |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number: 

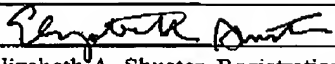
OR

|  |  |       |  |     |  |
|--|--|-------|--|-----|--|
| <input type="checkbox"/> Firm or Individual Name |  |       |  |     |  |
| Address  |  |       |  |     |  |
| City   |  | State |  | Zip |  |
| Country  |  |       |  |     |  |
| Telephone  |  | Email |  |     |  |

I am the:

☐ Applicant/Inventor☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## SIGNATURE of Applicant or Assignee of Record

|                   |   |           |                 |
|-------------------|---|-----------|-----------------|
| Signature         |  | Date      | August 30, 2005 |
| Name              | Elizabeth A. Shuster, Registration No. 52,672                                       | Telephone | (317) 634-3456  |
| Title and Company | Appointed Practitioner to Act on Behalf of Applicant                                |           |                 |

NOTE: Signatures of all of the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of 1 forms are submitted.

7520-2 EAS.le 360972

WEEMMH PTO/SB/98 (09-04)

Approved for use through 7/31/2008, OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Castor Technology Ltd.  
 Application No./Patent No: 10/526,770 Filed/Issue Date: February 28, 2005  
 Entitled: IMPROVEMENTS IN OR RELATING TO CASTORS  
Castor Technology Ltd. a, Corporation  
 (Name of Assignee) (Type of Assignee, e.g., Corporation, Partnership, University, Government Agency, etc.)

States that it is:

1. ☒ The assignee of the entire right, title, and interest; or  
 2. ☐ an assignee of less than the entire right, title, and interest.  
 The extent (by percentage) of its ownership interest is: \_\_\_\_\_ %.

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 016469, Frame 0276 or for which a copy thereof is attached.

OR

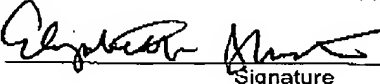
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_ or for which a copy thereof is attached.  
 2. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_ or for which a copy thereof is attached.  
 3. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_ or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.  
☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

August 30, 2005

Date

Elizabeth A. Shuster, Registration No. 52,672

Typed or printed name

(317) 634-3456

Telephone Number

Appointed Practitioner to Act on

Behalf of Applicant

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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